RECORDING INSIGHTS

ASC

AI Policy Engine
Maximum Transparency for Compliance with AI

Expand Recording Insights for proactive compliance and efficient processes

Solution certified for Microsoft Teams

Compliance and Efficiency with the AI Policy Engine

Elevate compliance from minimum requirements to proactive risk management

Simply recording conversations meets legal standards but doesn't prevent compliance risks.AI fills this gap, detecting violations, fraud, and policy breaches in real time. Take full control of your compliance processes with the AI Policy Engine.

Key Benefits of the AI Policy Engine

- Complete Compliance Overview
- Risk Detection
- Regulatory Adherance
- Efficiency Boost

Why Manual Audits can Expose your Business to Compliance Hazards

In today's highly regulated financial industry, customer communications is a vital and sensitive aspect of doing business. However, many companies operating in regulated markets face risks and challenges in managing and analyzing their communication data.

Recording conversations is mandatory for the financial industry. However, **due to the limited capacity of compliance managers, only a small percentage of calls can be reviewed**. This highlights the need for more efficient compliance measures to reduce the risk of undetected breaches.

The need for automation to manage compliance

150

agents in calls for ø 4 h per day.

→

138,000 h

of conversation per year.

→

1.33 %

can be checked on avarage by on 1 compliance manager.

Regulated industries need automated solutions to effectively manage their communications data and prevent compliance breaches. With AI data analytics, that's possible.

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AI Policy Engine powered by Azure

Ensuring Compliance and Turning Data Into Business Value With Azure AI Services and Azure OpenAI

Compliance Monitoring
Monitoring compliance with internal and external requirements.

Keyword Spotting
Automatic search and display of keywords and adherence phrases.

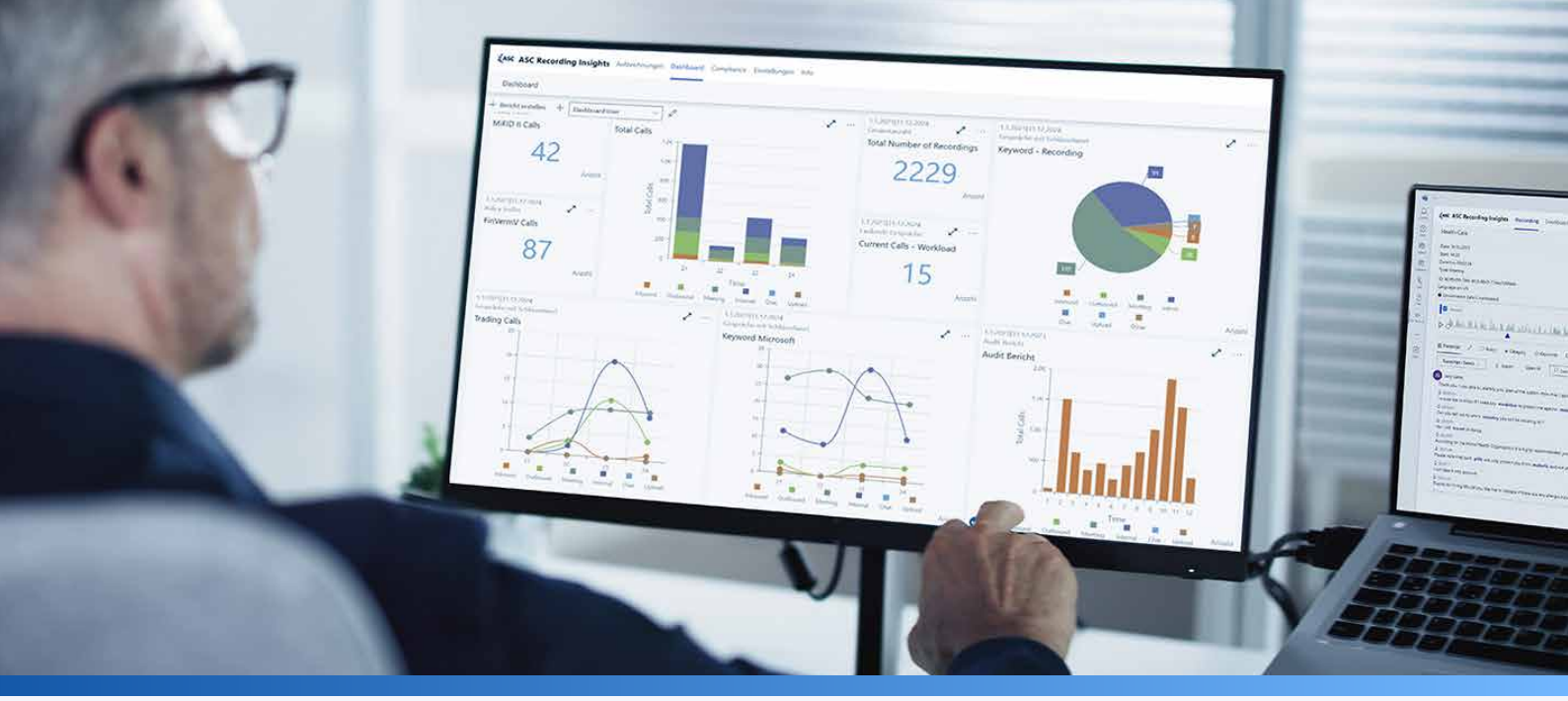
AI Policy Templates
Ready-to-use policies to implement compliance regulations such as MiFID II, FCA, and Dodd-Frank.

Automatic Categorization
Automatically categorize all communications according to their compliance relevance.

Transcription and Translation
Document conversations with automatic transcription and translation into 100+ languages.

Fraud Detection and Risk Alerting
AI-powered detection of potential fraudulent behavior or threats, even in real-time.

Dashboards and Reporting
Dashboards with customized reporting and analysis.



AI Policy Templates for Finance Needs

The AI Policy Engine in Recording Insights provides AI Policy Templates, specifically designed for the regulatory needs of banks and financial institutions.

As experts in compliance recording, we understand evolving regulations and have developed tailored AI-driven analytics that automatically assess conversations for compliance risks.

This enables accurate, efficient, and consistent monitoring helping financial institutions stay ahead of regulatory challenges.

Ready-to-Use

Predefined Templates for MiFID II, FCA, Dodd-Frank based on current regulations.

Up-to-Date

Regular updates in the event of changes to legal requirements.

Real-Time

Automated compliance checks and immediate alerts without delay.

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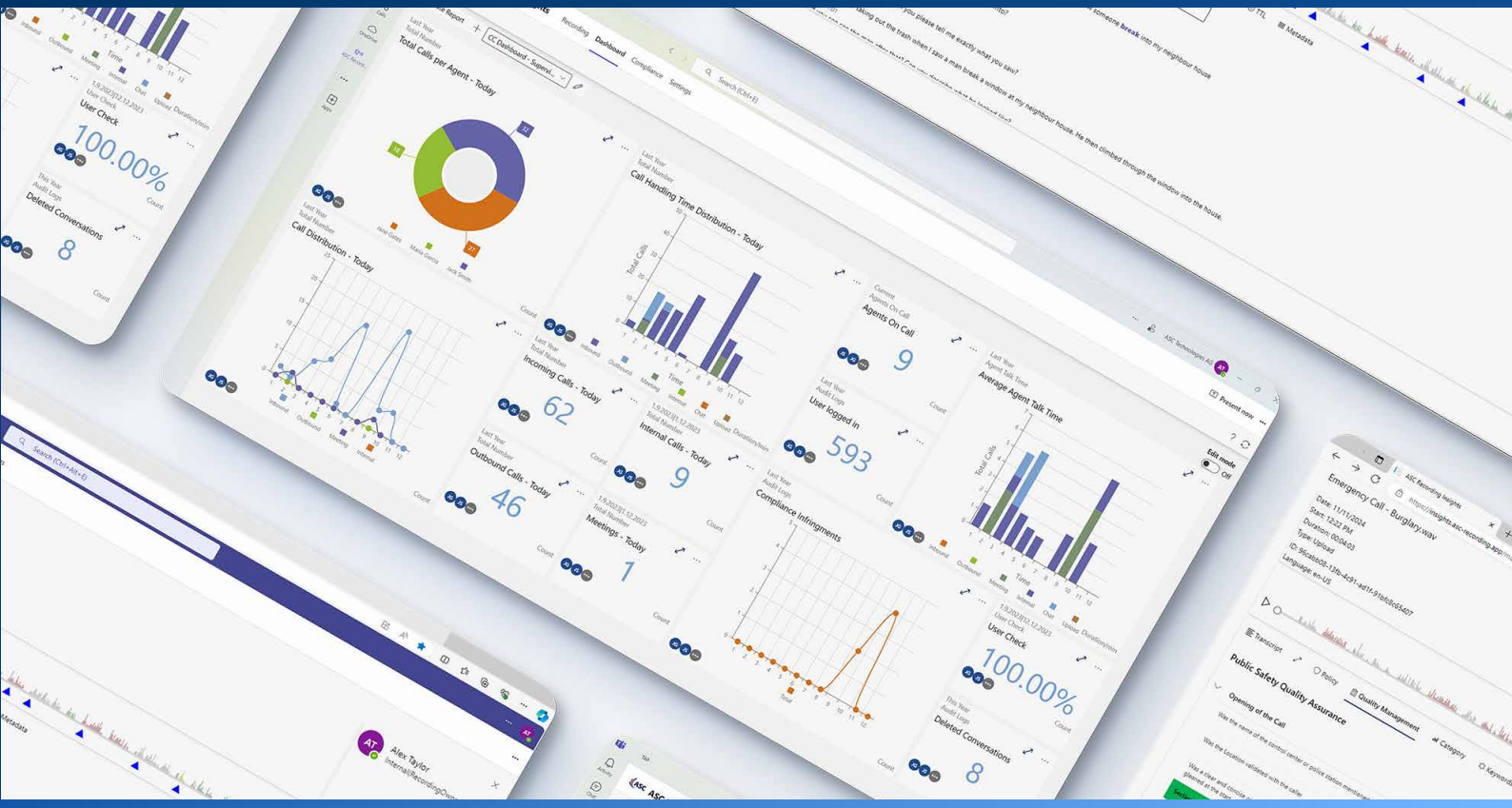
Ready to see the **AI Policy Engine** in action?

Start Your **30-Day Free Trial**
or **Book a Demo** Today.

BOOK NOW



About ASC

ASC is a worldwide leading provider of software and cloud solutions in the field of omni-channel recording, quality management, and analytics. We offer solutions for recording as well as AI-based analysis and evaluation of all communications – with full flexibility as a cloud service, on-premise or as a hybrid solution. Headquartered in Germany with subsidiaries in 14 countries and experienced system integration partners in over 60 countries, ASC is the #1 Europe-based player in its industry.

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