



We record & analyze communications

COMPLIANCE PRIMER – FINANCE

Dodd-Frank Wall Street Reform and Protection Act (Dodd-Frank)

The Dodd-Frank Wall Street Reform and Consumer Protection Act is a massive piece of financial reform legislation that was passed in 2010, during the Obama administration. Commonly known as the Dodd-Frank Act, or Dodd-Frank, it established a number of new government agencies tasked with overseeing the various components of the law and, by extension, various aspects of the financial system.



Mitigate Risks with
ASC Technologies' Recording and AI Solutions

Learn more by watching [this short video](#).



Dodd-Frank Wallstreet Reform and Protection Act

Main Purpose

Dodd-Frank was intended to curb the extremely risky financial industry activities that led to financial crisis of 2007–2008. Its goal was, and still is, to protect consumers and taxpayers from egregious behavior such as predatory lending.

Who Must Comply

The Dodd-Frank Act covers a wide spectrum of financial industry activities, imposing rules and establishing regulatory bodies to watch over the financial services industry and protect consumers.

How can ASC Help with Compliance?

Aside from compliance regulations set by the Dodd-Frank Act, MiFID II, and PCI DSS, companies also need to comply with data security regulations like the GDPR. ASC's solutions makes sure that companies meet both regulatory requirements. Designed for today's modern communications environments ASC make it secure and easy to comply with the highest data security standards and sets its solutions apart from others.

- AI helps instantly detect potential compliance breaches
- Configurable recording rules, access rights
- Secure and selectable storage & archiving options
- Tenant-specific data encryption / bring your own key
- Approval process for access and replay
- Customizable retention times & secure deletion process
- Audit-log reports

About ASC Technologies

ASC is a worldwide leading provider of software and cloud solutions in the field of omni-channel recording, quality management, and analytics. Among our target groups are all companies that record their communications, especially financial service providers, contact centers, and public safety organizations. We offer solutions for recording as well as AI-based analysis and evaluation of all communications – with full flexibility as a cloud service, on-premise or as a hybrid solution. Headquartered in Germany with subsidiaries in 14 countries and experienced system integration partners in over 60 countries, ASC is the #1 Europe-based player in its industry.



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